



# **BUSINESS CONTINUITY PLAN**

May 2026

# TABLE OF CONTENT

1. Overview	03
2. Mission Critical Persons and Emergency Contacts	04
3. Services Description	05
4. Office Location	05
5. Relocation of Operations/Temporary Facilities	05
6. Recovery Effort at Impacted Location	05
7. Resumption of Trading	06
8. Death or Incapacity of the Managing Director	06
9. Protection of Electronic Data Systems	06
10. Access to Client Account Data	06
11. Protection of Hard Copy Records	07
12. Communication with Clients	07
13. Communication with Vendors	07
14. Appendix	08
15. Contact Us	09

## Overview

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As part of its fiduciary duty to its clients and as a matter of best business practices, Savest Financial has adopted policies and procedures for disaster recovery and for continuing Savest Financial's business in the event of an emergency or a disaster such as a bombing, fire, flood, earthquake, power failure or any other event that may disable the firm or prevent access to our office(s).

In the event of a disaster, it is the firm policy to safeguard employees, ensure that client account records are protected, minimize risk to the firm employees and facilities, and resume the firm's business in as quickly and orderly a manner as possible. These policies are, to the extent practicable, designed to address those specific types of disasters that Savest Financial might reasonably face given its business and location.

## Mission Critical Person and Emergency Contacts

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In the event of an emergency or disaster, the following persons may be reached through the phone numbers and emails provided:

### Savest Financial

*Nathan Nwokoro, Chief Executive Officer*

Email: [nathan@savest-financial.com](mailto:nathan@savest-financial.com)

Phone: +2348084059710

*Chidalu Echem, Client Service Manager*

Email: [chidalu@savest-financial.com](mailto:chidalu@savest-financial.com)

Phone Number: +2348161526338

*Raphael Ameh, Chief Compliance Officer*

Email: [raphael@savest-financial.com](mailto:raphael@savest-financial.com)

Phone Number: +2348036132880

*Olaitan Owolabi, Head of Operations*

Email: [olaitan@savest-financial.com](mailto:olaitan@savest-financial.com)

Phone Number: +2347069629162

### Offshore Brokerage

*Questrade*

Address: Questrade, Inc. 5700 Yonge Street, Ground Floor, Toronto, ON, M2M 4K2

Contact tel: 1.888.909.5588

Email: [questmortgage@questrade.com](mailto:questmortgage@questrade.com)

### Custodians

*United Bank for Africa (UBA) - Global Investor Services (GIS)*

Address: 57 Marina, Lagos Island. Lagos, Nigeria

Phone Number: +23412808825

Email: [globalinvestorservices@ubagroup.com](mailto:globalinvestorservices@ubagroup.com)

*Access Investor Services Nominee Limited (AISNL)*

Address: 14/15 Prince Alaba Abiodun Oniru Road, Victoria Island Lagos.

Phone Number: +2348188489138

Email: [AIS@accessbankplc.com](mailto:AIS@accessbankplc.com)

*Zenith Nominees Limited*

Address: 11th floor, Plot, 2 Ajose Adeogun St, Victoria Island, Lagos 106104, Lagos.

Phone Number: +2348134066368

Email: [ZenithNomineesGroup@zenithbank.com](mailto:ZenithNomineesGroup@zenithbank.com)

### Settlement Banks

*Coronation Merchant Bank*

Address: 10 Amodu Ojikutu Street, off Saka Tinubu Street, Victoria Island, Lagos.

Phone Number: +2341279764043

Email: [crc@coronationmb.com](mailto:crc@coronationmb.com)

## Services Description

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As a licensed investment advisor and inter-dealer broker, Savest Financial may introduce and assist in the execution of equity, fixed income, and alternative asset transactions. Savest Financial does not hold clients' funds and securities.

Trade settlement and custody of clients' assets is the responsibility of custodians, selected based on the recommendation of the investment committee at Savest Financial or as directed by clients. Clients can access their funds and securities with brokers and custodians independent of Savest Financial.

Fixed-income custody services are provided by UBA Global Investors Services (UBA GIS), Access Investor Services Nominee Limited (AISNL), and Zenith Nominees Limited, while Questrade Canada is recommended by the firm for offshore equity brokerage.

## Office Location

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Suite A01, The Statement Suites,  
Plot 1002 Central Business District,  
Abuja,  
Nigeria.

## Relocation of Operations / Temporary Facilities

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In the event of an emergency or a disaster that impacts the primary office location, all firm operations will be done electronically from a remote location, under the supervision of the Risk Management Officer.

## Recovery Effort at Impacted Location

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The Risk Management Officer will coordinate the firm's insurance claim concerning the firm's impacted primary location and will coordinate the firm's business data and property to salvage recovery operations.

## **Resumption of Trading**

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Until full electronic trading capabilities are restored at the Firm's primary offices or until they are fully enabled at the temporary facility, the portfolio managers will coordinate client transactions by telephone/email from the temporary facility under the supervision of the Compliance and Risk Management Officers.

## **Death or Incapacity of Managing Director**

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In the event of the death or incapacity of the firm's Managing Director, in a timely fashion, the Board of Directors at Savest Financial will:

- Give clients notice of the demise/incapacity of the Managing Director.
- Explain to the clients the redundancy in process and investment philosophy and assure clients that the same level of care and fiduciary duty will be practiced at the firm even in the absence of the Managing Director.
- Assist clients in transitioning to another advisor or elsewhere should the clients decide to transfer assets to another firm;

## **Protection of Electronic Data Systems**

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All client data is securely stored within the Savest Client Management software, which utilizes a cloud-based storage system. Access to this secure data repository is strictly granted on a need-to-know basis, in accordance with internal policies designed to uphold client confidentiality.

## **Access to Client Account Data**

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In the event of a disaster affecting the office computer systems, all client portfolio records remain accessible through the Savest Client Portal, MyWealth, provided there is an internet connection. Additionally, clients can independently retrieve their portfolio records directly from their respective custodians or brokers using the contact details provided in Appendix 2.

## **Protection of Hard Copy Records**

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It is the practice of the firm to scan and keep all relevant documents in 'soft copy' form to ensure proper backup and security of information. These documents are saved on Savest's Client Management software and the cloud-based secure file system.

## **Communications with Clients**

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Prompt client communications are vital to reassuring clients of the safety of their assets and the ongoing viability of the firm. The Client Service Manager maintains a current list of contact information for all clients. Once staff safety issues are stabilized and the office premises are secured or an alternate location is established, the Client Service Manager, the Compliance Officer, the Risk Management Officer, Wealth Advisors and other designated staff will contact all Savest Financial clients, apprise them of the disaster recovery effort, and provide them with alternate contact information, when available. Client contact will be via telephone, email, fax, or mail as required by the circumstances.

## **Communications with Brokers/Custodians**

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The Client Service Manager maintains a current list of contact information for all major Brokers/Custodians of the Firm - the Broker/Custodian Emergency Contact List. The accuracy of the contact information on the current list is verified quarterly.

## Appendix 1 - Staff List

Name	Designation	Phone Number	Alternative Phone Number	Official Email	Alternative Email
Nathan Nwokoro	CEO	+2348084059710	+2348065612177	nathan@savest-financial.com	kcinathan@gmail.com
Chidalu Echem	Client Service Manager	+2348161526338	+2348127149270	chidalu@savest-financial.com	echemce13289@gmail.com
Raphael Ameh	Chief Compliance Officer	+2348036132880		raphael@savest-financial.com	raphaelameh80@gmail.com
Olaitan Owolabi	Head of Operations	+2347069629162	+2348098173307	olaitan@savest-financial.com	owolabi_olaitan@yahoo.com

## Appendix 2 - Broker/Custodians Emergency List

Name	Service Provided	Contact Person	Address	Phone Number	Email
UBA GIS	Custodian	Customer Service	57 Marina, Lagos Island. Lagos, Nigeria.	+23412808825	globalinvestorservices@ubagroup.com
Access Investor Services Nominee Limited (AISNL)	Custodian	Customer Service	14/15 Prince Alaba Abiodun Oniru Road, Victoria Island Lagos.	+2348188489138	AIS@accessbankplc.com
Coronation Merchant Bank	Settlement Services	Customer Service	10 Amodu Ojikutu Street, off Saka Tinubu Street, Victoria Island, Lagos.	012797640-43	crc@coronationmb.com
Zenith Nominees Limited	Custodian	Customer Service	11th floor, Plot, 2 Ajose Adeogun St, Victoria Island, Lagos 106104, Lagos	+2348134066368	ZenithNomineesGroup@zenithbank.com
Questrade Canada	Offshore Brokerage	Support Services	Questrade, Inc. 5700 Yonge Street, Ground Floor, Toronto, ON, M2M 4K2	1.888.909.5588	questmortgage@questrade.com

## Contact Us

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### **Savest Financial Services Limited**

Phone: +234 91344 6070

#### **Email:**

Advisory Services: [globaladvisory@savest-financial.com](mailto:globaladvisory@savest-financial.com)

Fixed Income Trading: [GlobalFixedIncome@savest-financial.com](mailto:GlobalFixedIncome@savest-financial.com)

General Enquiry: [info@savest-financial.com](mailto:info@savest-financial.com)

Website: [www.savest-financial.com](http://www.savest-financial.com)

Address: Suite A01 The Statement Suites, Plot 1002 CBD Abuja Nigeria